



BSCI Audit Summary Report

NAME OF THE PRODUCER : JIANGYIN WANGDA COMMERCIAL NOTES CO., LTD.

DBID : 332965

DATE OF THE AUDIT : 22/09/2013

All rights reserved. No part of this publication may be reproduced, translated, stored in a retrieval system, or transmitted, in any form or by any , means electronic, mechanical, photocopying, recording or otherwise, be lent, re-sold, hired out or otherwise circulated without the FTA's consent.

This is an extract of the BSCI Audit Report, which is available in the BSCI Platform.

© Foreign Trade Association (FTA), 2013 - The English version is the legally binding One.

Producer Information

Name of producer :	Jiangyin Wangda Commercial Notes Co., Ltd.		
DBID number :	332965		
Audit ID :	9730		
Address :	No. 18 Tongqian Road, Tongqi, Qingyang Town,,,Jiangying		
Province :	Jiangsu	Country :	China
Management Representative :	Xu Gongwang		
Contact person:	Qian Yulian		
Sector :	Non-Food	Industry Type :	Forestry, wood, pulp and paper
Product group :	other accessories (please specify)	Product Type :	Paper and articles thereof

Audit Details

Type of audit :	<input checked="" type="checkbox"/> Full Audit	<input type="checkbox"/> Re-Audit
Sub type of audit :	<input type="checkbox"/> Combined audit	<input type="checkbox"/> Special investigation <input type="checkbox"/> Unannounced/ Semiannounced
If investigation please specify :	<input type="checkbox"/> RUC	<input type="checkbox"/> Duplicate <input type="checkbox"/> Others
Audit date :	22/09/2013	Audit Duration : 1.500
Audit methodology :	<input checked="" type="checkbox"/> Industrial	<input type="checkbox"/> Primary Production
Auditing Company :	SGS	
Auditing Company Branch :	SGS CHINA	
Auditing company address :	SGS Bldg, No.4 Jianghao Industrial Park, No.430 Jihua Road, Bantian, Longgang District,,Shenzhen	
Lead Auditor Name(SA8000 Certificate Number) :	Kevin Lv (A8042)	
Team Member Name(SA8000 Certificate Number) :		

Audit Results for Part B tier I

Total Score Part B tier I:	<input type="checkbox"/> Good	<input checked="" type="checkbox"/> Improvements Needed	<input type="checkbox"/> Non-Compliant
Total Number of deviations in crucial questions :	0 / 35		
Agreed date for closing the Corrective Action Plan (If applicable) :	25/12/2013		
Contingencies to be reported :	<input type="checkbox"/> Aborted	<input type="checkbox"/> Partially Conducted	<input type="checkbox"/> Combined With Other Systems
	<input type="checkbox"/> Desktop Review	<input type="checkbox"/> Under Grace Period	<input checked="" type="checkbox"/> Others

Audit Results for Part B tier II (Only relevant if Primary Production Methodology is used)

Please refer to section "Sampled Units Tier II" and "Audit Sample CAP B"

Score Interpretation			
Good	Improvements Needed	Non-Compliant	Under "Grace Period"
Minor deviations are identified in no more than 2 questions per chapter, these questions are not marked as crucial.	Deviations are identified in less than half of the total number of questions per chapter. No deviations are found in questions marked as crucial.	Deviations are identified in half or more of the total number of questions per chapter. One deviation in a question marked as crucial is sufficient to assess the entire chapter as non-compliant.	Not Available

Audit Master Data Part A

For more details of the company, see complete Master Data in the on-line Audit Report

General information on the Producer			
Name of producer :	Jiangyin Wangda Commercial Notes Co., Ltd.		
Address :	No. 18 Tongqian Road, Tongqi, Qingyang Town,,Jiangying		
Year of foundation :	2004		
Legal status :	Ltd Company		
Turnover (in euros) :	1250000.000		
Main contact person :	Qian Yulian		
Language spoken :	Chinese		
Valid GlobalG.A.P Certificate :	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Other Social audit :	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Social audit name :	NA

Auditors' notes

Producer's background

--

Chapter summary

Chapter	Chapter Summary
B.13. Environment	No evidence showed that hazardous waste was transferred to licensed supplier for disposing, and the factory did not establish the mechanism to ensure reduction of emissions, particularly CO2 emission. It was suggested that the factory should improve it accordingly. The section result should be "Improvement Needed".
B.3. Working Hours	Based on attendance records review from Sep 2012 to the audit day, the overtime hour of workers exceeded 36hr per month, such as in Mar, Apr, May and Aug 2013, and the maximum overtime was 40hr per month, which did not meet legal requirement. It was suggested that the factory should improve it accordingly. The section result should be "Improvement Needed".

Score Interpretation			
Good	Improvements Needed	Non-Compliant	Under "Grace Period"
Minor deviations are identified in no more than 2 questions per chapter, these questions are not marked as crucial.	Deviations are identified in less than half of the total number of questions per chapter. No deviations are found in questions marked as crucial.	Deviations are identified in half or more of the total number of questions per chapter. One deviation in a question marked as crucial is sufficient to assess the entire chapter as non-compliant.	Not Available

Part B Tier I - Overall Summary

Chapter	Full Audit Results (9730 and 22/09/2013)				%
	G	IN	NC	NA	
B.1. Management Practice	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	91.67
B.2. Documentation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.3. Working Hours	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	80.00
B.4. Compensation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.5.1. Child Labour	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.5.2. Young Workers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
B.6. Forced Labour / Disciplinary Measures / Prison Labour	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.7. Freedom of Association and Collective Bargaining	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.8. Discrimination, Harassment and Abuse	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.9. Working Conditions	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.10. Health and Social Facilities	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	100.00
B.11. Occupational Health and Safety (OHS)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	89.74
B.12. Dormitories (if not applicable, go to B.13)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
B.13. Environment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	60.00
Total	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	93.50

Score Interpretation	
Good	Minor deviations are identified in no more than 2 questions per chapter; these questions are not marked as crucial.
Improvements Needed	Deviations are identified in less than half of the total number of questions per chapter. No deviations are found in questions marked as crucial.
Non-compliant	Deviations are identified in half or more of the total number of questions per chapter. One deviation in a question marked as crucial is sufficient to assess the entire chapter as non-compliant.
Under "Grace Period"	Not Available
Not Applicable	Requirements do not match to the company structure
Not Rated	Only applicable for re audits; if a question was rated "+" in the previous full audit or re-audit the auditor will mark the question NR

In House Production Structure

Department	Function in production	Number of Workers	Number of shifts	Shift 1		Shift 2		Shift 3	
				From	To	From	To	From	To
Production	Production	23	1	7:00 AM	5:00 PM				
Human Resources	Office staff	7	1	7:00 AM	5:00 PM				

In House employment Structure at the time of the audit

Total number of workers :	30		
Male		Female	
Permanent workers	12	Permanent workers	18
In management position	2	In management position	1
On probation	0	On probation	0
National migrants	0	National migrants	0
Salaried workers	0	Salaried workers	5
Temporary workers (odd jobbers)	0	Temporary workers (odd jobbers)	0
Apprentices	0	Apprentices	0
With disabilities	0	With disabilities	0
Cross-border migrants	5	Cross-border migrants	6
Production based workers	10	Production based workers	12
		Pregnant	0
		On maternity leave	0

Outsourced structure

Unit	Function in the chain	Contact person	Business relation -ship since	Location/ Address				Distance to the company in km	Main product	Certificates and social audits (if applicable)	Number of workers	Part of the ISMS (if applicable)	Part of the audit sample
				Address	City	State	Country						
Nil										0	Yes	Yes	

Dormitories (if applicable)

No Data Available for Dormitories

Corrective Action Plan (CAP) B Tier I

Chapters and Subchapters	Score	CAP	Responsible Person	Deadline date
B.1. Management Practice				
B.1.2.	(-) [Minus]	Initial audit on 22 Sep, 2013: Finding: The factory posted BSCI code in public, but BSCI hotline and e-mail were not posted on site. It violated the BSCI requirement. Necessary Improvement: The factory should post BSCI hotline and e-mail in public with BSCI code together. 工厂应将BSCI投诉热线和邮箱与BSCI行为守则一起张贴在现场。	Xu Gongwang	15/10/2013
Chapter Score	<input checked="" type="checkbox"/> Good <input type="checkbox"/> Improvements Needed <input type="checkbox"/> Non-Compliant			
Number of deviations in crucial questions	0 of 3			
Fulfillment Percentage	88.89%			
B.3. Working Hours				
B.3.4.	(-) [Minus]	Initial audit on 22 Sep, 2013: Finding: Based on attendance records review from Sep 2012 to the audit day, the overtime hour of workers exceeded 36hr per month, such as in Mar, Apr, May and Aug 2013, and the maximum overtime was 40hr per month, which did not meet legal requirement. It violated China Labor Law, Article 41. Necessary Improvement: The factory should ensure the overtime hour of workers in line with legal requirement. 工厂应确保工人加班时间符合法律要求。	Xu Gongwang	25/12/2013
Chapter Score	<input checked="" type="checkbox"/> Good <input type="checkbox"/> Improvements Needed <input type="checkbox"/> Non-Compliant			
Number of deviations in crucial questions	0 of 3			
Fulfillment Percentage	80.00%			
B.11. Occupational Health and Safety (OHS)				
B.11.1.3. Risk Assessment and Monitoring	(-) [Minus]	Initial audit on 22 Sep, 2013: Finding: The factory did not make a risk assessment for transmittable and non-transmittable diseases. It violated the BSCI requirement. Necessary Improvement: The factory should take into account transmittable and non-transmittable diseases when they carried out risk assessment. 工厂风险评估应考虑到对可传染的和不可传染的疾病的风险评估和控制。	Xu Gongwang	15/10/2013
B.11.1.5. Risk Assessment and Monitoring	(-) [Minus]	Initial audit on 22 Sep, 2013: Finding: The factory did not provide occupational health examination for two printing workers. There was one printing machine in the factory which did not work during the audit, and management stated that two workers were engaged in printing work, but occupational health examination was not provided for them at present. It violated Law of the People's Republic of China on Prevention and Control of Occupational Diseases, Article 36. Necessary Improvement: The factory should provide occupational health check for printing workers. 工厂应为印刷岗位员工提供职业病体检。	Xu Gongwang	15/10/2013
B.11.6.4. Electricity	(-) [Minus]	Initial audit on 22 Sep, 2013: Finding: It was noted that three power switches in carton workshop were missing safety cover during audit. It violated the BSCI requirement. Necessary Improvement: The factory should install safety cover for all power switches. 工厂应为电源开关安装防护罩。	Xu Gongwang	15/10/2013
B.11.09.1. Machine and Vehicle Safety	(-) [Minus]	Initial audit on 22 Sep, 2013: Finding: It was noted that the pulley guards were missing for one nailing machine in carton workshop and one printing machine in packing workshop. It violated General rules of design on health and safety of production facility (GB5083-1999), 6.1.6. Necessary Improvement: The factory should ensure pulley guards available for all machines.	Xu Gongwang	15/10/2013

Score Interpretation	
Good	Minor deviations are identified in no more than 2 questions per chapter; these questions are not marked as crucial.
Improvements Needed	Deviations are identified in less than half of the total number of questions per chapter. No deviations are found in questions marked as crucial.
Non-compliant	Deviations are identified in half or more of the total number of questions per chapter. One deviation in a question marked as crucial is sufficient to assess the entire chapter as non-compliant.
Under "Grace Period"	Not Available
Not Applicable	Requirements do not match to the company structure
Not Rated	Only applicable for re audits; if a question was rated "+" in the previous full audit or re-audit the auditor will mark the question NR

		工厂应确保所有机器设备都安装了皮带轮防护罩。		
Chapter Score	<input type="checkbox"/> Good	<input checked="" type="checkbox"/> Improvements Needed	<input type="checkbox"/> Non-Compliant	
Number of deviations in crucial questions	0 of 6			
Fulfillment Percentage	89.74%			
B.13. Environment				
B.13.3.	(-) [Minus]	Initial audit on 22 Sep, 2013: Finding: No evidence showed that hazardous waste was transferred to licensed supplier for disposing. It violated Law of the People's Republic of China on the Prevention and Control of Environmental Pollution by Solid Wastes (2004) Article 57. Necessary Improvement: The factory should make sure hazardous waste is transferred to qualified supplier for disposing and should keep the transferring record. 工厂应确保危废由有资质的供应商处理, 并保留转移记录。	Xu Gongwang	30/09/2013
B.13.5.	(-) [Minus]	Initial audit on 22 Sep, 2013: Finding: The factory did not establish the mechanism to ensure reduction of emissions, particularly CO2 emission. It violated the BSCI requirement. Necessary Improvement: The factory should establish the mechanism to ensure reduction of emissions, particularly CO2 emission. 工厂应建立减少碳排放的机制。	Xu Gongwang	30/09/2013
Chapter Score	<input checked="" type="checkbox"/> Good	<input type="checkbox"/> Improvements Needed	<input type="checkbox"/> Non-Compliant	
Number of deviations in crucial questions	0 of 0			
Fulfillment Percentage	60.00%			
Total Audit Results for Part B Tier I				
Total Score	<input type="checkbox"/> Good	<input checked="" type="checkbox"/> Improvements Needed	<input type="checkbox"/> Non-Compliant	
Total number of deviations in crucial questions	0 of 35			
Overall fulfillment percentage	93.5%			

Score Interpretation	
Good	Minor deviations are identified in no more than 2 questions per chapter; these questions are not marked as crucial.
Improvements Needed	Deviations are identified in less than half of the total number of questions per chapter. No deviations are found in questions marked as crucial.
Non-compliant	Deviations are identified in half or more of the total number of questions per chapter. One deviation in a question marked as crucial is sufficient to assess the entire chapter as non-compliant.
Under "Grace Period"	Not Available
Not Applicable	Requirements do not match to the company structure
Not Rated	Only applicable for re audits; if a question was rated "+" in the previous full audit or re-audit the auditor will mark the question NR

Workers Interview Sheet

Workers Interview Sheet			
Workers	Male workers number	Female workers number	% of the total workforce
Total	2	5	23.33
Workers aged < 18 years interviewed	0	0	0.00
Apprentices interviewed	0	0	0.00
Disabled persons interviewed	0	0	0.00
Full time workers interviewed	2	5	23.33
Part time workers interviewed	0	0	0.00
Seasonal workers interviewed	0	0	0.00
Migrant workers interviewed	3	4	23.33
Pregnant workers interviewed		0	0.00

Interview methods used	number (how many)
Number of Individual interviews conducted	3
# of people interviewed in Group(s)	4
# of Interviews conducted on site	7
# of Interviews conducted off site	0
Was an interpreter used?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Language(s) used for worker interviews	Chinese

No. of interview	Essentials (If the number of rows is not enough, please add pages as needed and include with the attachments).
1	All interviewees confirmed that the factory did not withhold their ID cards or any certificate, and no illegal deposit was required during the recruitment. All interviewees said that they were more than 18 years old.
2	Most interviewed employees stated they were aware of factory benefits, such as sick leave, maternity leave and paid annual leave etc.
3	No case of discrimination was identified; No coercion or harassment was noted in the factory.
4	All interviewees demonstrated that they had not seen serious work accidents occurred in the factory. Interviewed workers said they attended fire drill & evacuation drill in the factory, and health & safety training was provided for them by factory.
5	All workers interviewed said they had only one shift work (7:00-11:00, 13:00-17:00); the normal working hour in the factory was 8 hr per day, 5 days per week; All interviewed production workers said they sometimes worked overtime 3hrs at night, and usually had overtime on Saturdays, and their working time was recorded by IC scanning attendance recorder.
6	All interviewed production workers said they were paid by hourly rate, and the minimum wage they obtained was RMB8.91/hr. Most interviewees said they were paid by the rate of 150% and 200% of normal wage for overtime on weekday and rest days respectively. All interviewed workers said that the factory would pay wage to them by cash on the 20th of every month, and the payslip was available to them. All interviewees said the factory did not use penalty as disciplinary rule.
7	Most interviewed employees said worker representatives were available in the factory. All interviewees said they could communicate with supervisor or top manager directly. All of them stated they had no complaint about the factory.

Score Interpretation	
Good	Minor deviations are identified in no more than 2 questions per chapter; these questions are not marked as crucial.
Improvements Needed	Deviations are identified in less than half of the total number of questions per chapter. No deviations are found in questions marked as crucial.
Not Applicable	Requirements do not match to the company structure
Not Rated	Only applicable for re-audits; if a question was rated "+" in the previous full audit or re-audit the auditor will mark the question NR

Child and Young Workers Record Sheet

Child and Young Workers Record Sheet								
Please only complete this table if you have children (anyone below the national minimum age) or young workers (anyone below the national minimum age but below 18 years of age) in your company								
Name	Birthday(dd/mm/yyyy)	Attending school?	Working start date(dd/mm/yyyy)	Department he/she works in	working time schedule (From)	working time schedule (To)	Average working hours per week	Comments
Nil		Yes					0.000	

Score Interpretation	
Good	Minor deviations are identified in no more than 2 questions per chapter; these questions are not marked as crucial.
Improvements Needed	Deviations are identified in less than half of the total number of questions per chapter. No deviations are found in questions marked as crucial.
Non-compliant	Deviations are identified in half or more of the total number of questions per chapter. One deviation in a question marked as crucial is sufficient to assess the entire chapter as non-compliant.
Under "Grace Period"	Not Available
Not Applicable	Requirements do not match to the company structure
Not Rated	Only applicable for re-audits; if a question was rated "+" in the previous full audit or re-audit the auditor will mark the question NR

Overall Producer Pictures

No Photos Available